

# A Brief Review of Nature-Inspired Algorithms for Optimization

Iztok Fister Jr.<sup>1</sup>, Xin-She Yang<sup>2</sup>, Iztok Fister<sup>1</sup>, Janez Brest<sup>1</sup>, Dušan Fister<sup>1</sup>

<sup>1</sup>University of Maribor

Faculty of electrical engineering and computer science, Smetanova 17, 2000 Maribor, Slovenia

E-mail: iztok.fister@guest.arnes.si

<sup>2</sup>University of Middlesex

School of Science and Technology, Middlesex University, London NW4 4BT, United Kingdom

E-mail: xy227@cam.ac.uk

**Abstract.** Swarm-intelligence-based and bio-inspired algorithms form a hot topic in the developments of new algorithms inspired by nature. These nature-inspired metaheuristic algorithms can be based on swarm intelligence, biological systems, physical and chemical systems. Therefore, these algorithms can be called swarm-intelligence-based, bio-inspired, physics- and chemistry-based, depending on the sources of inspiration. Though not all of them are efficient, a few algorithms have proved to be very efficient and thus have become popular tools for solving real-world problems. Some of the algorithms have been insufficiently studied. The purpose of this review is to present a relatively comprehensive list of all the algorithms in the literature, so as to inspire further research.

**Keywords:** swarm intelligence, bio-inspired algorithms, physics/chemistry algorithms, optimization

## Kratki pregled algoritmov po vzoru iz narave za optimizacijo

Inteligenca rojev (angl. Swarm Intelligence, krajše SI) in algoritmi po vzoru iz biologije ponujajo nove smernice v razvoju novih algoritmov po vzoru iz narave. Ti metahevrstični algoritmi lahko bazirajo na inteligenci rojev, bioloških sistemih ter fizikalnih in kemijskih sistemih. Glede na njihov vzor, ki ga posnemajo, te delimo na algoritme z inteligenco rojev, algoritme na osnovi bioloških sistemov, in algoritme na osnovi fizikalnih oz. kemijskih sistemov. Čeprav vsi algoritmi te vrste niso učinkoviti, pa nekateri izmed njih kažejo ravno obratno in zato je z njimi moč reševati realne probleme. Nekateri izmed njih nimajo teoretične podlage. V članku želimo predstaviti širok seznam vseh današnjih algoritmov po vzoru iz narave, da bi vspodbudili njihov nadaljni razvoj.

## 1 INTRODUCTION

Real-world optimization problems are often very challenging to solve, and many applications have to deal with the NP-hard problems. To solve such problems, optimization tools have to be used, though there is no guarantee that the optimal solution can be obtained. In fact, for the NP-problems, there are no efficient algorithms at all. As a result, many problems have to be solved by trial and errors using various optimization techniques. In addition, new algorithms have been developed to see if they can cope with these challenging optimization problems.

Among these new algorithms, many algorithms such as particle swarm optimization, cuckoo search and firefly algorithm, have gained popularity due to their high efficiency. In the current literature, there are about 40 different algorithms. It is really a challenging task to classify these algorithms systematically. Obviously, the classifications can largely depend on the criteria, and there is no easy guideline to set out the criteria in the literature. As criteria may vary, detailed classifications can be an impossible task for a research paper. However, in this short paper, we only attempt to focus on one aspect of the characteristics of these algorithms. That is, we will focus on the source of inspiration when developing algorithms.

Therefore, the rest of this paper is organized as follows: Section 2 analyzes the sources of inspiration, Section 3 provides a brief and yet comprehensive list of algorithms and, Section 4 concludes the paper with some suggestions.

## 2 SOURCES OF INSPIRATION

Nature has inspired many researchers in many ways and thus is a rich source of inspiration. Nowadays, most new algorithms are nature-inspired, because they have been developed by drawing inspiration from nature. Even with the emphasis on the source of inspiration, we can still have different levels of classifications, depending on how details and how many subsources we will wish to use. For the sake of simplicity, we will use the highest level

sources such as biology, physics or chemistry.

In the most generic term, the main source of inspiration is nature. Therefore, almost all the new algorithms can be referred to as nature-inspired. By far the majority of the nature-inspired algorithms are based on some successful characteristics of the biological system. Therefore, the largest fraction of the nature-inspired algorithms are biology-inspired, or bio-inspired for short.

Among the bio-inspired algorithms, a special class of algorithms have been developed by drawing inspiration from swarm intelligence. Therefore, some of the bio-inspired algorithms can be called swarm-intelligence-based. In fact, algorithms based on swarm intelligence are among the most popular. Good examples are ant colony optimization [1], particle swarm optimization [2], cuckoo search [3], bat algorithm [4], and firefly algorithm [5], [6].

Obviously, not all the algorithms have been based on biological systems. Many algorithms have been developed by using inspiration from physical and chemical systems. Some may even be based on music [7]. In the rest of the paper, we will briefly divide all the algorithms into different categories without claiming this categorization to be unique. This is a good attempt to provide sufficiently detailed references.

### 3 CLASSIFICATION OF ALGORITHMS

Based on the above discussions, we can divide all the existing algorithms into four major categories: swarm-intelligence (SI)-based, bio-inspired (but not SI-based), physics/chemistry-based, and others. We will summarize them briefly in the rest of this paper. However, we will focus here on the relatively new algorithms. The Well-established algorithms such as genetic algorithms are so well known that there is no need to introduce them in this brief paper.

It is worth pointing out the classifications given here are not unique as some of the algorithms can be classified into several categories at the same time. Loosely speaking, classifications depend largely on what the focus or emphasis and the perspective may be. For example, if the focus and perspective are about the trajectory of the search path, the algorithms can be classified as trajectory- and population-based. Simulated annealing is a good example of the trajectory-based algorithms, while particle swarm optimization and firefly algorithms are the population-based algorithms. If our emphasis is placed on the interaction of the multiple agents, the algorithms can be classified as attraction-based or non-attraction-based. The Firefly algorithm (FA) is a good example of the attraction-based algorithms because FA uses the attraction of light and attractiveness of fireflies, while the genetic algorithms are non-attraction-based since there is no explicit attraction

used. On the other hand, if the emphasis is placed on the updating equations, the algorithms can be divided into rule- and equation-based. For example, particle swarm optimization and cuckoo search are the equation-based algorithms for using explicit updating equations, while the genetic algorithms do not have explicit equations for crossover and mutation. However, in this case, the classifications are not unique. For example, FA uses three explicit rules that can be converted explicitly into a single updating equation which is nonlinear [5], [6]. This clearly shows that classifications depend on the actual perspective and motivations. Therefore, the classifications here are just one possible attempt, though the emphasis is placed on the sources of inspiration.

#### 3.1 Swarm-intelligence-based algorithms

Swarm intelligence (SI) concerns the collective, emerging behaviour of multiple, interacting agents who follow some simple rules. While each agent may be considered as unintelligent, the whole system of the multiple agents may show some self-organization behaviour and thus can behave like some sort of collective intelligence. Many algorithms have been developed by drawing inspiration from the swarm-intelligence systems in nature.

All the SI-based algorithms use multi-agents inspired by the collective behaviour of social insects, like ants, termites, bees, and wasps, as well of other animal societies like flocks of birds or fish. A list of the SI algorithms is presented in Table 1. The classical particle swarm optimization (PSO) uses the swarming behaviour of fish and birds, while the firefly algorithm (FA) uses the flashing behaviour of swarming fireflies. Cuckoo search (CS) is based on the brooding parasitism of some cuckoo species, while the bat algorithm uses the echolocation of foraging bats. Ant-colony optimization uses the interaction of social insects (e.g., ants), while the class of the bee algorithms is all based on the foraging behaviour of the honey bees.

The SI-based algorithms are among the most popular and widely used. There are many reasons for such popularity. One of them is that the SI-based algorithms usually share information among the multiple agents, so that self-organization, co-evolution and learning during iterations may help to provide the high efficiency of most SI-based algorithms. Another reason is that the multiple agent can be parallelized easily so that large-scale optimization becomes more practical from the implementation point of view.

#### 3.2 Bio-inspired, but not SI-based algorithms

Obviously, the SI-based algorithms belong to a wider class of the algorithms, called the bio-inspired algorithms. In fact, the bio-inspired algorithms form a majority of all the nature-inspired algorithms. From the set theory point of view, the SI-based algorithms are

a subset the of bio-inspired algorithms, while the bio-inspired algorithms are a subset of the nature-inspired algorithms. That is

$$\text{SI-based} \subset \text{bio-inspired} \subset \text{nature-inspired}.$$

Conversely, not all the nature-inspired algorithms are bio-inspired, and some are purely physics- and chemistry- based algorithms as we will see below.

Many bio-inspired algorithms do not use directly the swarming behaviour. Therefore, it is better to call them bio-inspired, but not SI-based. For example, the genetic algorithms are bio-inspired, but not SI-based. However, it is not easy to classify certain algorithms such as differential evolution (DE). Strictly speaking, DE is not bio-inspired because there is no direct link to any biological behaviour. However, as it has some similarity with the genetic algorithms and also has a key word ‘evolution’, we tentatively put it in the category of bio-inspired algorithms. These algorithms are listed in Table 1.

For example, the flower algorithm [8], or flower pollination algorithm [9], developed by Xin-She Yang in 2012, is a bio-inspired algorithm, but it is not an SI-based algorithm because the flower algorithm tries to mimic the pollination characteristics of flowering plants and the associated flower consistency of some pollinating insects.

### 3.3 Physics- and Chemistry-Based algorithms

Not all the metaheuristic algorithms are bio-inspired, because their sources of inspiration often come from physics and chemistry. For the algorithms that are not bio-inspired, most have been developed by mimicking certain physical and/or chemical laws, including electrical charges, gravity, river systems, etc. As different natural systems are relevant to this category, we could even subdivide them into many subcategories which is not necessary. A list of these algorithms is given in Table 1.

Schematically, we can present the relationship between the physics- and chemistry based algorithms as follows:

$$\left. \begin{array}{l} \text{Physics algorithms} \\ \text{Chemistry algorithms} \end{array} \right\} \begin{array}{l} \notin \text{bio-inspired algorithms} \\ \in \text{nature-inspired algorithms} \end{array}$$

Though physics and chemistry are two different subjects, however, it is not useful to subdivide this subcategory further into the physics- and chemistry-based algorithms. After all, many fundamental laws are the same. So we simply group them as the physics- and chemistry-based algorithms.

### 3.4 Other algorithms

When researchers develop new algorithms, some may look for inspiration away from nature. Consequently, as some algorithms are not bio-inspired or

physic/chemistry-based, it is sometimes difficult to put some algorithms in the above three categories, because these algorithms have been developed by using various characteristics from different sources, such as social, emotional, etc. In this case, it is better to put them in some other category, as listed in Table 1.

### 3.5 Some Remarks

Though the sources of inspiration are very diverse, the algorithm designed from such inspiration may be equally diverse. However, care should be taken, as a true novelty is a rare thing. For example, though there are about 28,000 living species of fish, this cannot mean that researchers should develop 28,000 different fish-based algorithms. Therefore, they cannot call their algorithms trout algorithm, squid algorithm, ..., shark algorithm.

As a matter of fact, researchers try to look for some efficient formulas as summarized by Yang [10] in the following generic scheme:

$$[x_1, x_2, \dots, x_n]^{t+1} = A\{[x_1, x_2, \dots, x_n]^t, \dots; (p_1, p_2, \dots, p_k); (w_1, w_2, \dots, w_m)\},$$

which attempts to generate better solutions (a population of  $n$  solutions) at iteration  $t+1$  from the current iteration  $t$  and its solution set  $x_i, (i = 1, 2, \dots, n)$ . This iterative algorithmic engine (i.e. algorithm  $A$ ) also uses some algorithm-dependent parameters  $(p_1, \dots, p_k)$  and some random variables  $(w_1, \dots, w_m)$ . This schematic representation can include all the algorithms listed in this paper. However, this does not mean it is easy to analyze the behaviour of an algorithm because this formula can be highly nonlinear. Though the Markov chains theory and dynamic-system theory can help providing some limited insight into some algorithms, a detailed mathematical framework is still yet to be developed.

On the other hand, it is worth pointing out that studies show that some algorithms are better than others. It is still not quite understood why. However, if one looks at the intrinsic part of the algorithm design closely, some algorithms are badly designed; they lack certain basic capabilities such as mixing and diversity among the solutions. In contrast, good algorithms have both mixing and diversity control so that the algorithms can explore the vast search space efficiently, while they converge relatively quickly when necessary. Good algorithms, such as particle swarm optimization, differential evolution, cuckoo search and FAs all have both global search and intensive local search capabilities, which may be partly why they are so efficient.



## 4 CONCLUSION

The sources of inspiration for algorithm development are very diverse, and consequently the algorithms are equally diverse. In this paper, we briefly summarized all the algorithms into four categories. This can be a comprehensive source of information to form a basis or point of reference for further research. It is worth noting that the algorithm classifications may not be unique, and the presented table should be used for the purpose of information only.

Based on many studies in the literature, some algorithms are more efficient and popular than others. It would be helpful to carry out more studies, but this does not mean that we should encourage researchers to develop more algorithms such as grass, sky, or ocean algorithms.

Currently, there may be some confusion and distraction in the research of metaheuristic algorithms. On one hand, researchers have focused on important novel ideas for solving difficult problems. On the other hand, some researchers, have artificially invented new algorithms for the sake of publications with little improvement and no novelty. Researchers should be encouraged to carry out truly novel and important studies that would really be useful in solving hard problems. Therefore, our aim is to inspire more research to gain a better insight into the efficient algorithms to be used in solving large-scale real-world problems.

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**Janez Brest** received his B.S., M.Sc., and Ph.D. degrees in computer science from the University of Maribor, Maribor, Slovenia, in 1995, 1998 and 2000, respectively. He is currently a full professor at the Faculty of Electrical Engineering and Computer Science, University of Maribor.

**Dušan Fister** is a student at the Faculty of Electrical Engineering and Computer Science of the University of Maribor. His research activities encompass GPS solutions, swarm intelligence and operating systems.

**Iztok Fister Jr.** received his B.Sc. degree in Computer Science in 2011 from the Faculty of Electrical Engineering and Computer Science of the University of Maribor, Slovenia. Currently, he is working towards his M.Sc. degree. His research activities encompass swarm intelligence, pervasive computing and programming languages.

**Xin-She Yang** received his Ph.D. degree in Applied Mathematics from University of Oxford, UK. Now he is a Reader in Modelling and Simulation at Middlesex University, UK, an Adjunct Professor at Reykjavik University, Iceland, and a Distinguished Guest Professor at Xi'an Polytechnic University, China. He is also the IEEE CIS Chair for the Task Force on Business Intelligence and Knowledge Management, and the Editor-in-Chief of International Journal of Mathematical Modelling and Numerical Optimisation (IJMMNO).

**Iztok Fister** graduated in computer science from the University of Ljubljana in 1983. He received his Ph.D. degree from the Faculty of Electrical Engineering and Computer Science, University of Maribor, in 2007. He works as teaching assistant in the Computer Architecture and Languages Laboratory at the same faculty. His research interests include program languages, operational researches and evolutionary algorithms.